

IFW

3622

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/931,677
		Filing Date	August 15, 2001
		First Named Inventor	Martin Khang Nguyen
		Art Unit	3622
		Examiner Name	Jean D. Janvier
Total Number of Pages in This Submission	25	Attorney Docket Number	6841P001

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">- Statement of Substance of Interview</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thinh V. Nguyen, Reg. No. 42,034 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 1, 2007

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Tu T. Nguyen		
Signature		Date	March 1, 2007



# FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/931,677
Filing Date	August 15, 2001
First Named Inventor	Martin Khang Nguyen
Examiner Name	Jean D. Janvier
Art Unit	3622
Attorney Docket No.	6841P001

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 60.00

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments

## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	60.00
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)					(60.00)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Thinh V. Nguyen	Registration No. (Attorney/Agent)	42,034	Telephone	(714) 557-3800
Signature		Date	03/01/07		



Appl. No. 09/931,677

Statement of Substance of Interview Dated 03/22/2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application. No. : 09/931,677  
Applicant : Martin Khang Nguyen  
Filed : 08-15-2001  
TC/A.U. : 3622  
Examiner : Jean D. Janvier

Confirmation No. 3351

Docket No. : 006841.P001  
Customer No. : 8791

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)**

*I hereby certify that this correspondence is, on the date shown below, being:*

**FACSIMILE**

☐ *transmitted by facsimile to the Patent and Trademark Office.*

03/01/2007

*Tu Nguyen*

*Date*

Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

**STATEMENT OF SUBSTANCE OF INTERVIEW UNDER 37 C.F.R. §1.133(b)**

Sir:

An Examiner's interview was conducted on December 14, 2006 between Examiner Jean D. Javier and Applicant Martin Nguyen and Thinh Nguyen, Applicant's representative, at the request of Applicant's representative.

The following is a Summary of the Substance of Interview in compliance with 37 C.F.R. §1.133(b) and MPEP 713.04.

(A) Brief description of the nature of any exhibit shown or any demonstration conducted:

None.

(B) Identification of the claims discussed:

Although no specific claim was discussed, references were made regarding the wallet card as recited in claims 1-3, 4, and 7.

(C) Identification of the specific prior art:

Although no specific prior art was discussed, reference was made regarding Fajkowski, WO 98/19229 ("Fajkowski").

(D) Identification of the principal proposed amendments of a substantive nature:

The Examiner suggested amending the previously submitted amended specification and Figure 4.

(E) The general thrust of the principal arguments:

Applicant's representative discussed the invention. Applicant's representative clarified that one embodiment of the claimed invention is a wallet card which does not contain coupon data, but is related to a notification indicia. The notification indicia identifies publicly placed coupons. Applicant and Applicant's representative called the Examiner's attention to the specification on page 9, lines 6-11; lines 16-21; page 10, lines 20-23; lines 25-27. Applicant's representative discussed briefly the Fajkowski reference and pointed out the differences between and the claimed invention including that Fajkowski discloses scanning coupon data into the coupon card while the wallet card of the claimed invention does not contain such data.

Applicant's representative further clarified the previously submitted Figure 4 and its description in the amended specification submitted in the response filed on August 28, 2006.

The Examiner indicated that Figure 4 may contain new matter because of the box showing "Super Cheap Store" in the coupon/advertisement 410. The Examiner suggested that the previously submitted amended specification and Figure 4 be amended to remove the potential new matter.

The Examiner further suggested that a response to the Office Action dated November 30, 2006 should be prepared addressing the issues of lack of disclosure and the claim rejections.

(F) General indication of any other pertinent matters:

None.

(G) General results or outcome of the interview, if appropriate:

Although no agreement was reached regarding allowability, it was understood that the Examiner would consider any amendments to the specification and Figure 4, and the arguments against the rejections in the Office Action dated November 30, 2006.

(H) Interview via electronic mail:

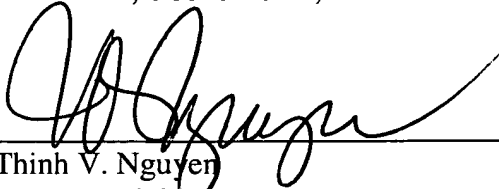
Not applicable.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 1, 2007

By



Thinh V. Nguyen

Reg. No. 42,034

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